

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

CARMELO ROMÁN, RICARDO ROMÁN  
RIVERA, and SDM HOLDINGS, INC.,  
individually and on behalf of  
all others similarly situated.

Plaintiffs,

v.

UBS FINANCIAL SERVICES, INC. OF  
PUERTO RICO, UBS TRUST COMPANY  
OF PUERTO RICO, THE PUERTO RICO  
INVESTORS TAX-FREE FUND III,  
INC., THE PUERTO RICO INVESTORS  
TAX-FREE FUND IV, INC., THE  
PUERTO RICO INVESTORS TAX-FREE  
FUND V, INC., THE PUERTO RICO  
INVESTORS TAX-FREE FUND VI,  
INC., MIGUEL A. FERRER, and  
CARLOS J. ORTIZ

Defendants.

CIVIL NO. 12-1663

JURY TRIAL DEMANDED

SECURITIES CLASS ACTION

NOTICE OF APPEARANCE

TO THE CLERK OF THE COURT AND ALL PARTIES OF RECORD:

I am admitted or otherwise authorized to practice in this Court,  
and I appear in this case as counsel for co-defendant **THE PUERTO RICO  
INVESTORS TAX FREE FUND IV, INC.**

**RESPECTFULLY SUBMITTED.**

In San Juan, Puerto Rico, this 9<sup>TH</sup> day of October, 2012.

**I HEREBY CERTIFY:** That on this date a true and exact copy of the  
foregoing document has been filed with the Clerk of Court using the  
CM/ECF system, which automatically serves notification of the filing  
to all parties of interest.

s/ Néstor M. Méndez-Gómez  
Néstor M. Méndez-Gómez  
USDC No. 118409

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